



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

ZHOU et al.

Atty. Ref.: 839-1013

Serial No. 09/878,327

Group: 2661

Filed: June 12, 2001

Examiner: TBA

For: ACCOUNT MANAGEMENT SYSTEM

\* \* \* \* \*

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**EXCLUSIVE SUBSTITUTE POWER OF ATTORNEY  
AND EXCLUSIVE PROSECUTION HEREAFTER BY  
ASSIGNEE UNDER 37 C.F.R. §§ 1.36, 3.71 AND 3.73**

The undersigned being the owner of all right, title and interest in the above-identified patent application, hereby revokes all previous powers of attorney in this case, if any, and hereby appoints Nixon & Vanderhye, P.C., 1100 North Glebe Rd., 8th Floor, Arlington, Virginia 22201-4714, telephone number (703) 816-4000, facsimile number (703) 816-4100, and the following attorneys thereof (of the same address) individually and collectively its attorneys to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith, and with the resulting patent: Larry S. Nixon, 25640; Arthur R. Crawford, 25327; James T. Hosmer, 30184; Robert W. Faris, 31352; Richard G. Besha, 22770; Mark E. Nusbaum, 32348; Michael J. Keenan, 32106; Bryan H. Davidson, 30251; Stanley C. Spooner, 27393;

Leonard C. Mitchard, 29009; Duane M. Byers, 33363; Jeffry H. Nelson, 30481; John R. Lastova, 33149; H. Warren Burnam, Jr. 29366; Mary J. Wilson, 32955; J. Scott Davidson, 33489; Alan M. Kagen, 36178; Robert A. Molan, 29834; B. J. Sadoff, 36663; James D. Berquist, 34776; Updeep S. Gill, 37334; Michael J. Shea, 34725; Donald L. Jackson, 41090; Michelle N. Lester, 32331; Frank P. Presta, 19828; Joseph S. Presta, 35329; Joseph A. Rhoa, 37515; Raymond Y. Mah, 41426; Chris Comuntzis, 31097; James Mitchell, 25602, General Electric Co., 3135 Easton Turnpike (W3C), Fairfield, CT 06431; James E. McGinness, 33260, GE Wilmington Facility, P.O. Box 780, Mail Code A11, Wilmington, NC 28401; Ronald E. Myrick, 26315, General Electric Co., 3135 Easton Turnpike (W3C), Fairfield, CT 06431; Jay Chaskin, 24030, General Electric Co., 3135 Easton Turnpike (W3D62), Fairfield, CT 06431; Ernest G. Cusick, 39476, General Electric Co., 1 River Road, Bldg. 37, 5<sup>th</sup> Floor, Schenectady, NY 12345; Henry J. Policinski, 26621, General Electric Co., 3135 Easton Turnpike (W3E), Fairfield, CT 06431-0001; Bernard Snyder, 24843, General Electric Co., 3135 Easton Turnpike (W3E), Fairfield, CT 06431-0001; and Catherine J. Winter, 38364, General Electric Co., 3135 Easton Turnpike (W3E), Fairfield, CT 06431-0001.

I also authorize Nixon & Vanderhye to delete any attorney names/numbers no longer with the firm and to act and rely solely on instructions communicated from the person, attorney, firm or other organization sending instructions to Nixon & Vanderhye on behalf of the owner.

**Certificate Under 37 C.F.R. §3.73(b)**

I hereby certify that General Electric Company of 1 River Road, Schenectady, NY 12345 is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors to the aforesaid assignee, a copy of the assignment being attached.

I have reviewed the documents in the chain of title of the patent application identified above, and to the best of my knowledge and belief, title is in the aforesaid assignee for which I am empowered to act in this matter.

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

**GENERAL ELECTRIC COMPANY**

Sept 28, 2001      By: Ernest G. Cusick  
Date      Name: Ernest G. Cusick  
Title: Patent Counsel

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, Rui ZHOU of 126 D Eastwood Drive, Clifton Park, New York 12065; Yu WANG of 28 Spruce Street, Clifton Park, New York 12065; Hong DAI of <sup>481 S. Broadway</sup>~~15 Hillcrest Village~~  
<sup>Apt. 24 Lawrence, MA 01843</sup>~~East, Apt. B3, Schenectady, New York 12309~~; and, George GHANIME of 573 Victory Circle, Ballston Spa, New York 12020-2308 respectively, sells and assigns to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in ACCOUNT MANAGEMENT SYSTEM invented by us, as described in the application for United States Patent being filed herewith; which was filed on June 12, 2001 as Serial Number: 09/878,327 and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

08/30/2001

Date

  
Rui ZHOU

Witnessed by:

Name: FARSHAD GHASRIPOOR

Date:

8/30/2001Name: Ernest F Reader Sr.

Date:

8.30.01

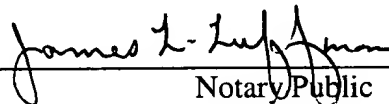
STATE OF New York)

ss):

COUNTY OF Schenectady)

This 30<sup>TH</sup> day of AUGUST, 2001, before me personally came the above-named, Rui ZHOU, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

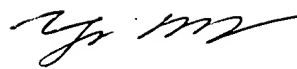
My Commission Expires:

  
Notary PublicAUGUST 15, 2002

JAMES L. LUFFMAN  
Notary Public in the State of New York  
Qualified in Schenectady County  
Commission Expires August 15, 2002  
01LU4941016

8/31/01

Date



Yu WANG

Witnessed by:

Name: Blanche M. duMoulin Date: \_\_\_\_\_

Name: \_\_\_\_\_ Date: \_\_\_\_\_

STATE OF New York)

ss):

COUNTY OF Schenectady)

This \_\_\_\_ day of \_\_\_\_\_, 2001, before me personally came the above-named, Yu Wang, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Blanche M. duMoulin

Notary Public

My Commission Expires: March 06, 2002

BLANCHE M. DUMOULIN  
Notary Public in the State of New York  
Qualified in Albany Co.  
01DU6037998  
My Commission Expires Mar. 6, 2002

9/5/2001

Date

Hong Dai

Hong DAI

Witnessed by:

Name: Wenli Robs Date: 9/5/01Name: Shan Hodge Date: 9/5/01

STATE OF New York)

ss):

COUNTY OF Schenectady)

This 5<sup>th</sup> day of September, 2001, before me personally came the  
 above-named, Hong Dai, to me personally known as the individual who executed the foregoing  
 assignment, who acknowledged to me that ~~he~~<sub>she</sub> executed the same of ~~his~~<sub>her</sub> own free will for the  
 purposes therein set forth.

Mary D. Francis

Notary Public

My Commission Expires:

January 17, 2006

9-19-01

Date

  
George GHANIME

Witnessed by:

Name:

Lern C. Dufour

Date:

9/19/01

Name:

Ernest R. Smith

Date:

9/19/01

STATE OF New York)

ss):

COUNTY OF Schenectady)

This \_\_\_\_ day of \_\_\_\_\_, 2001, before me personally came the above-named, George Ghanime, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

\_\_\_\_\_  
Notary Public

My Commission Expires: \_\_\_\_\_